

BROCHURE SUPPLEMENT

Form ADV Part 2B

This brochure supplement provides information about Investment Adviser Representatives of CCM Investment Management, LLC that supplements the CCM Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Chad C. Mello if you did not receive CCM Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representatives of CCM Investment Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov.



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Chad C. Mello

Educational Background and Professional Designations

Education

Bachelor of Arts Economics, University of Massachusetts at Amherst - 2002

Professional Designations

CFA – Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute - the largest global association of investment professionals. There are currently more than 90,000 CFA charterholders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders, often making the charter a prerequisite for employment. Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. To learn more about the CFA charter, visit www.cfainstitute.org.

Business Background

02/2018 - Present	CCM Investment Management, LLC Managing Member/ Chief Compliance Officer Investment Adviser Representative
05/2008 – 02/2018	SII Investments, Inc Investment Adviser Representative / General Securities Representative
06/2007 - 05/2008	Integrated Financial Services Operations Manager

Disciplinary Information

A. Criminal or Civil Actions

There are no criminal or civil actions to report.

B. Administrative Proceedings

There are no administrative proceedings to report.

C. Self-regulatory Organization (SRO) Proceedings

There are no self-regulatory organization proceedings to report.

D. Other Hearing or Formal Adjudication

There are no disclosures.

Other Business Activities

CCM Investment Management, LLC (“CCMIM”) and/or Chad C. Mello may accept compensation for the sale of non-variable life insurance, non-variable annuities and other life/health insurance products. Compensation is not accepted for asset-based sales charges or service fees from the sale of mutual funds, variable insurance products, or other securities. Conflicts of interest may arise when receiving commissions for the recommendation of insurance products. This practice potentially gives CCMIM and/or Chad C. Mello an incentive to recommend products based on the compensation received, rather than on a client’s needs. CCMIM and/or Chad C. Mello does not charge asset-based advisory fees on non-variable insurance products that CCMIM may recommend and receive commissions for. However, if a client has engaged CCMIM and /or Chad C. Mello to provide financial planning services for a fee, CCMIM and/or Chad C. Mello may also receive compensation for recommending non-variable insurance products as part of the financial planning process.

Additional Compensation

Chad C. Mello does not receive any economic benefit from any person, company, or organization, other than CCMIM in exchange for providing clients advisory services through CCMIM.

Supervision

As the Chief Compliance Officer of CCMIM, Chad C. Mello supervises all activities of the firm. Chad C. Mello's contact information is on the cover page of this disclosure document. Chad C. Mello adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Requirements For State Registered Advisers

There are no arbitration awards to report under this section.

There are no civil, self-regulatory organization, or administrative proceedings to report under this section.

There are no bankruptcies to report under this section.

Clients can obtain the disciplinary history, if any, of CCMIM and its representatives from the Massachusetts Securities Division upon request.

Andrew R. Sylvia

Educational Background and Professional Designations

Education

Bachelor of Science Mathematics with Minors in Psychology & Computer Science, Bridgewater State University - 2016

Professional Designations

AIF – Accredited Investment Fiduciary

The Accredited Investment Fiduciary (AIF®) designation represents a thorough knowledge of and ability to apply the Global Fiduciary Standard of Excellence. The Standard of Excellence is based on the fiduciary practices developed by the Foundation for Fiduciary Studies. AIF® designees have received comprehensive instruction on the practices and the legal and best practice framework they are built upon. The Accredited Investment Fiduciary® (AIF®) designation represents a thorough knowledge of and ability to apply the fiduciary Practices. Through fi360's AIF Training programs, AIF designees learn the Practices and the legal and best practice framework they are built upon. AIF designees have a reputation in the industry for the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures.

A successful candidate earns the ability to use the AIF designation after a series of tests culminating in a 80 question final exam taken in a 2 hour period. AIF® Designees must complete continuing education training to keep up to date with changes in the industry and the ongoing evolution of legal requirements under the fiduciary standard.

For these reasons in 2006, the AIF was named one of the "Ten Most Wanted" designations in the investment industry by Financial Planning magazine.

Business Background

12/2019 - Present	CCM Investment Management, LLC Investment Adviser Representative
06/2016 – 11/2019	Securian Financial Services Investment Adviser Representative / General Securities Representative

Disciplinary Information

A. Criminal or Civil Actions

There are no criminal or civil actions to report.

B. Administrative Proceedings

There are no administrative proceedings to report.

C. Self-regulatory Organization (SRO) Proceedings

There are no self-regulatory organization proceedings to report.

D. Other Hearing or Formal Adjudication

There are no disclosures.

Other Business Activities

CCM Investment Management, LLC (“CCMIM”) and/or Andrew R. Sylvia may accept compensation for the sale of non-variable life insurance, non-variable annuities and other life/health insurance products. Compensation is not accepted for asset-based sales charges or service fees from the sale of mutual funds, variable insurance products, or other securities. Conflicts of interest may arise when receiving commissions for the recommendation of insurance products. This practice potentially gives CCMIM and/or Andrew R. Sylvia an incentive to recommend products based on the compensation received, rather than on a client’s needs. CCMIM and/or Andrew R. Sylvia does not charge asset-based advisory fees on non-variable insurance products that CCMIM may recommend and receive commissions for. However, if a client has engaged CCMIM and/or Andrew R. Sylvia to provide financial planning services for a fee, CCMIM and/or Andrew R. Sylvia may also receive compensation for recommending non-variable insurance products as part of the financial planning process.

Andrew R. Sylvia acts as an EMT for East Coast Clinical Health in Fall River, MA. This is a full time position, which has varying hours. This position makes up a substantial portion of Andrew’s income and available time.

Additional Compensation

Andrew R. Sylvia does not receive any economic benefit from any person, company, or organization, other than CCMIM in exchange for providing clients advisory services through CCMIM.

Supervision

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Requirements For State Registered Advisers

There are no arbitration awards to report under this section.

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Clients can obtain the disciplinary history, if any, of CCMIM and its representatives from the Massachusetts Securities Division upon request.